

Discrete solitons and vortices in hexagonal and honeycomb lattices: Existence, stability, and dynamics

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We consider a prototypical dynamical lattice model, namely, the discrete nonlinear Schrödinger equation on nonsquare lattice geometries. We present a systematic classification of the solutions that arise in principal six-lattice-site and three-lattice-site contours in the form of both discrete multipole solitons and discrete vortices. Additionally to identifying the possible states, we analytically track their linear stability both qualitatively and quantitatively. We find that among the six-site configurations, the “hexapole” of alternating phases ($0-\pi$), as well as the vortex of topological charge $S=2$ have intervals of stability; among three-site states, only the vortex of topological charge $S=1$ may be stable in the case of focusing nonlinearity. These conclusions are confirmed both for hexagonal and for honeycomb lattices by means of detailed numerical bifurcation analysis of the stationary states from the anticontinuum limit, and by direct simulations to monitor the dynamical instabilities, when the latter arise. The dynamics reveal a wealth of nonlinear behavior resulting not only in single-site solitary wave forms, but also in robust multisite breathing structures.

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I. INTRODUCTION

Hamiltonian lattice or quasidiscrete systems have become popular in the last few years, to a considerable extent due to experimental implementations of such systems drawn from various branches of physics. One of the first examples where such developments became relevant was in the nonlinear optics of fabricated AlGaAs waveguide arrays [1]. There, the interplay of inherent discreteness and nonlinearity led to the emergence of numerous interesting phenomena including Peierls-Nabarro potential barriers, diffraction and diffraction management [2], gap solitons [3], and so on (see also the reviews [4,5] and references therein).

On the other hand, more recently another area of nonlinear optics that has been central to the development of both theoretical as well as computational tools to study such systems, has been the setting of optically induced photonic lattices in photorefractive crystals such as strontium barium niobate (SBN). There, the original theoretical proposal of these lattices [6] was subsequently followed by experimental realizations [7,8], paving the way for the observation of a diverse array of novel and interesting phenomena in such crystals. These include the formation of patterns such as dipole [9], quadrupole [10], and necklace [11] solitons, impurity modes [12], discrete vortices [13,14], rotary solitons [15], higher-order Bloch modes [16] and gap vortices [17], the observation of two-dimensional (2D) Bloch oscillations and Landau-Zener tunneling [18], the observation of localization and diffraction in honeycomb [19], hexagonal [20], and quasicrystalline lattices [21], and most recently the study of Anderson localization in disordered photonic lattices [22] (for a review of some of this activity see, e.g., Refs. [23,24]). Finally, we should note that similar dynamical lattices have

also become of interest in an entirely different area of physics, namely, the atomic physics of Bose-Einstein condensates, when trapped in periodic potentials; see, e.g., the recent reviews [25–27].

In this work, we will focus, in particular, on the recently emerging area of *nonsquare* lattices in waveguide arrays, as well as in light-induced photonic crystals [19,20,28–30]. Such lattices were also considered earlier from a theoretical perspective in discrete settings corresponding to waveguide arrays [31]. We will study here the existence, stability, and dynamical properties of multipulse solitary wave structures, as well as of discrete vortex structures in both hexagonal and honeycomb lattices. We will focus on two prototypical contours of such lattices; namely, a more extended six-site contour, as well as a reduced three-site contour, both depicted in Fig. 1. Our prototypical model of interest will be the discrete nonlinear Schrödinger (DNLS) equation and our results will be presented for the case of a focusing nonlinearity; however, our findings can be directly transformed to the case of a defocusing nonlinearity. Additionally, we should note that similar results can be obtained in Klein-Gordon models and have been illustrated, e.g., for three-site contours in hexagonal lattices [32].

It is relevant to note that crystalline configurations of strongly coupled doped plasmas (dusty plasma crystals) occur in the form of 1D or 2D monolayers formed in low-temperature gas discharge experiments [33]. Interestingly, such dust crystals generically appear as spontaneously formed hexagonal 2D arrangements [34], although alternative configurations also include honeycomb 2D lattices [35] and 1D dust chains (when appropriate trapping potentials are used for lateral confinement [36]). A discrete Klein-Gordon description has recently been employed to model the dynam-

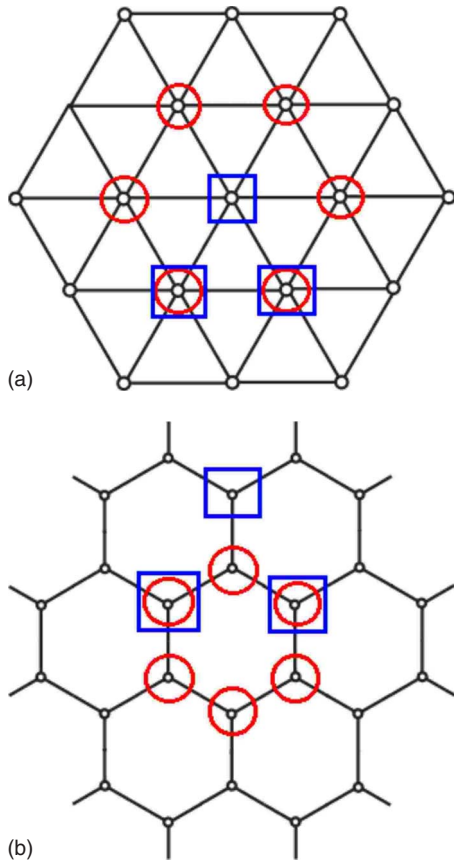


FIG. 1. (Color online) Discrete lattice configurations for the hexagonal geometry (top), in which each node has six neighbors, and the honeycomb geometry (bottom), in which each node has three neighbors. The relevant hexapole configurations are represented by the red circles and the tripoles are given by blue squares. Notice that the relevant three-site configuration for the honeycomb is composed of next-nearest-neighboring sites.

ics of transverse vibrations of dust grains in dusty plasma crystals, both in 1D [37] and in hexagonal 2D dust lattices [38].

The key findings that we report here are the following.

(1) For the focusing nonlinearities considered herein, in a six-site honeycomb or hexagonal contour, topological charge $S=2$ configurations may be stable, while $S=1$ ones can never be stable. This represents a notable qualitative difference from the results in the case of a square lattice [39], where the prototypical contour consisting of four sites features a potentially stable $S=1$ vortex, as well as a genuinely real and potentially stable analog of the $S=2$ vortex (the so-called $S=2$ quasivortex [40]).

(2) In these contours, also six-site alternating $0-\pi$ phase configurations are potentially stable, while in-phase configurations are not stable. While this instability can be implicitly inferred from the instability of the corresponding building blocks (i.e., the instability of the in-phase dipole and the potential stability of the out-of-phase dipole [41]), its quantitative characteristics can only be traced through the approach presented below.

(3) In three-site contours, the only potentially stable configuration is that of a discrete vortex, while both in-phase and

alternating phase configurations are observed to be unstable.

(4) The evolution of the dynamical instability in these lattices is more complex than in the square lattice case, and may involve degeneration not only to single-site solitons but possibly to multisite solitary wave structures, and often the formation of robust breathing states, consisting of multiple sites (possibly even as many as the original configuration). In fact two clear breather formations recur in multiple simulations: (a) Two sites with fluctuating, usually opposite, phases and oscillating amplitudes of comparable magnitude; (b) Two sites with different amplitudes oscillating between the same phases and opposite phases, depending on whether the amplitudes are closer or further away, respectively.

Six-site configurations with phases of 0 or π will be collectively called “hexapoles” herein, while three-site configurations with phases 0 or π will be collectively termed “tripoles.”

Our presentation of the above findings is structured as follows. In Sec. II, we provide the background for the theoretical analysis. In Sec. III, we corroborate the theoretical findings with numerical bifurcation results illustrating the various nonlinear modes in both hexagonal and honeycomb geometries and their stability properties, while the corresponding dynamics are presented in Sec. IV. Finally, in Sec. V, we summarize our findings and present our conclusions.

II. THEORETICAL ANALYSIS

We consider the following discrete nonlinear Schrödinger equation for the two geometries of interest:

$$i \frac{du_{m,n}}{dz} = -\varepsilon \Delta_d u_{m,n} - |u_{m,n}|^2 u_{m,n}, \quad (1)$$

$$\Delta_d u_{m,n} = \left(\sum_{\langle m',n' \rangle \in N} u_{m',n'} - |N| u_{m,n} \right), \quad (2)$$

where the summation is over the set N of nearest neighbors (denoted by $\langle m',n' \rangle$) of the site (m,n) , $|N|$ is the cardinality of the set N of neighboring sites (six in the hexagonal geometry and three in the honeycomb), and $u_{m,n}$ models, e.g., the envelope of the electric field in the corresponding waveguide [31], while ε represents the coupling strength between nearest neighbor nodes; z denotes the propagation distance along the crystal.

In the so-called, anticontinuum (AC) limit $\varepsilon \rightarrow 0$ the sites are uncoupled. In this case, explicit solutions over contours of nodes indexed by j can be easily found in the general form $u_j = \sqrt{\Lambda} \exp(i\theta_j) \exp(i\Lambda z)$, where Λ is the propagation constant and $\theta_j \in [0, 2\pi)$. Without loss of generality we will fix the value of Λ , taking $\Lambda=1$, and we will consider three- and six-site contours in each of the hexagonal and honeycomb geometries, shown in Fig. 1. According to the earlier work of [39,42] (see also [43]), the necessary condition for solution over a discrete contour M in the AC limit to persist in the presence of nonzero coupling is

$$g_j \equiv \sin(\theta_j - \theta_{j+1}) + \sin(\theta_j - \theta_{j-1}) = 0, \quad (3)$$

for all $j \in M$, and subject to periodic boundary conditions. The stability can also be determined from the eigenvalues γ_j

of the $|M| \times |M|$ Jacobian $\mathcal{J}_{jk} = \partial g_j / \partial \theta_k$. In particular, for each eigenvalue γ_j , the full linearization of Eq. (2) around a stationary solution with nonzero nodes in M will have eigenvalue pairs λ_j given, to leading order, by the following relation in the case that the sites in M are nearest neighbors:

$$\lambda_j = \pm \sqrt{2\gamma_j \varepsilon}. \quad (4)$$

If the nonzero sites comprising the contour are next-nearest neighbors instead, as in the case of the three-site contours for the honeycomb lattice geometry (see Fig. 1), then ε is replaced by ε^2 in the previous relation. Unstable solutions for weak coupling (small ε) can then be identified as those for which the eigenvalues λ_j have nonzero real part, given the Hamiltonian nature of the model. The Jacobian matrix has the following form:

$$(\mathcal{J})_{j,k} = \begin{cases} \cos(\theta_{j+1} - \theta_j) + \cos(\theta_{j-1} - \theta_j), & j = k, \\ -\cos(\theta_j - \theta_k), & j = k \pm 1, \\ 0, & |k - j| \geq 2. \end{cases} \quad (5)$$

We will consider primarily contours M such that $|\theta_{j+1} - \theta_j| = \Delta\theta$ is constant for all $j \in M$, $|\theta_1 - \theta_M| = \Delta\theta$, and $\Delta\theta|M| = 0 \pmod{2\pi}$, except one case that will be treated separately. In the primary case, all the nonzero elements of this matrix are then factors of $a = \cos(\Delta\theta)$, and the eigenvalue problem of the Jacobian \mathcal{J} reduces to the following difference equations:

$$a(2x_n - x_{n+1} - x_{n-1}) = \gamma_j x_n. \quad (6)$$

These can be solved by a discrete Fourier transform with any eigenvector $x_n \sim \exp(i2\pi nj/|M|)$, whence $\gamma_j = 4a \sin^2(\pi j/|M|)$ and then

$$\lambda_j = \pm \sqrt{8\varepsilon \cos(\Delta\theta) \sin^2\left(\frac{\pi j}{|M|}\right)}. \quad (7)$$

Recall that for the honeycomb three-site next-nearest-neighbor contours the above formula should be used with ε replaced by ε^2 . The special case of the three-node contour with phases 0, π , and 0 can be treated also in the framework of the Jacobian of Eq. (5) [and its eigenvalues computed by Eq. (4)], although it does not fall under the general calculation of Eqs. (6) and (7). We will consider nodes separated by either $\Delta\theta = 0$, π , $\pi/3$, or $2\pi/3$, for the different contours in this work.

These predictions for the linear stability eigenvalues will be compared to numerical results for the linear stability of the stationary solution $v_{m,n} \exp(iz)$ of Eq. (2). The stability will be analyzed by using the ansatz

$$u_{m,n} = e^{iz} [v_{m,n} + \delta(p_{m,n} e^{\lambda z} + q_{m,n}^* e^{\lambda^* z})], \quad (8)$$

and solving the ensuing eigenvalue problem for the eigenvalue λ and the eigenvector $[p_{m,n}, q_{m,n}]^T$. In the above, the asterisk (\star) denotes complex conjugate and T denotes the transpose. Notice that, when the resulting eigenvalues of the linearization possess a nonzero real part, the solution will be exponentially unstable, with a growth rate corresponding to the real part of the most unstable eigenvalue.

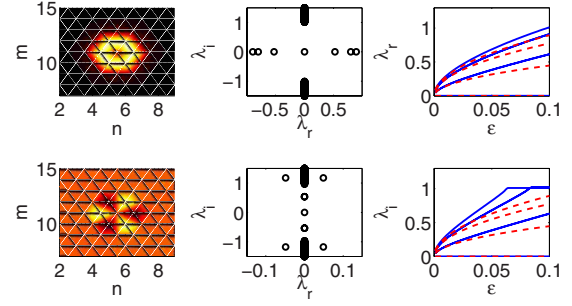


FIG. 2. (Color online) Six-site real-valued configurations in a hexagonal geometry. The top row corresponds to the unstable $\Delta\theta = 0$, or “in-phase” solutions, while the bottom corresponds to the stable $\Delta\theta = \pi$, or “out-of-phase” ones. From left, the first column is the profile at $\varepsilon = 0.08$, the second column shows the corresponding linearization spectrum (λ_r, λ_i) of the eigenvalues $\lambda = \lambda_r + i\lambda_i$, and finally the third column shows the continuation in ε of the actual eigenvalues (real, λ_r , and imaginary, λ_i , components) (solid) and the theoretical predictions given by Eq. (7) (dashed).

III. NUMERICAL EXISTENCE RESULTS

Both in this section, detailing the various configurations and their corresponding stability over the six-node and three-node contours, and in the next one, comparing the corresponding dynamics, we will partition our discussion into two subsections. The first one will be devoted to the results obtained for the hexagonal geometry, and the second devoted to the case of the honeycomb geometry.

A. Hexagonal geometry

First, we will study six-site contours, of which we will consider four. The first two of these are real and are such that either $\Delta\theta = 0$ or $\Delta\theta = \pi$ (any additional combination of 0 and π phases is also possible but the main qualitative characteristics of stability will not change from those reported below). The relation (7) for $\Delta\theta = 0$ predicts double eigenvalue pairs at $\pm\sqrt{2\varepsilon}$ and $\pm\sqrt{6\varepsilon}$ and single pairs at $\pm\sqrt{8\varepsilon}$ and 0, while for $\Delta\theta = \pi$ each of these is multiplied by the imaginary unity. Direct numerical computation and continuation in the coupling parameter ε from the AC limit confirm the predictions (Fig. 2) The in-phase configuration with $\Delta\theta = 0$ becomes strongly unstable away from the AC limit, while the out-of-phase configuration with $\Delta\theta = \pi$ is stable for small ε . It should be noted that more generally any configuration that has two adjacent in-phase nodes along the six-site contour will also be unstable for all values of ε , while the *only* potentially stable configuration of this type (real solution comprising 0 and π phases) is the out-of-phase adjacent node structure of $\Delta\theta = \pi$. However, even for that configuration, the imaginary eigenvalues which bifurcate from the origin in the AC limit have the topological property of, so-called, negative Krein signature [39]; this means practically that they become structurally unstable upon collision with other eigenvalues, such as those of the phonon band, which have positive Krein signature. Hence, when the coupling becomes sufficiently large ($\varepsilon \geq 0.06$), these eigenvalues eventually intersect with the continuous spectrum (the phonon band)

edge located at $\pm i\Lambda$, and result in Hamiltonian-Hopf bifurcations associated with complex quartets of eigenvalues and oscillatory instabilities. Such collisions can be detected in the graphs illustrating the lowest imaginary eigenvalue parts λ_i in the bottom right panel of Fig. 2, and are associated with the points where the eigenvalue trajectories begin to level out. The spectrum of the solution at $\varepsilon=0.08$ in the bottom middle panel of Fig. 2 reveals the presence of such quartets.

Next, we consider the complex-valued solutions along the six-site contours, for which our conditions guarantee vorticity (i.e., the relevant solutions will be discrete vortices whose phase completes a round trip of a multiple of 2π along the discrete contour). The fundamental solutions here are for $\Delta\theta=\pi/3$, which is a singly charged vortex, and $\Delta\theta=2\pi/3$, which is a doubly charged vortex. The relation (7) predicts that the singly charged vortex will be unstable with double eigenvalue pairs $\pm\sqrt{\varepsilon}$ and $\pm\sqrt{3\varepsilon}$, and single pairs at $\pm\sqrt{4\varepsilon}$ and 0. On the other hand, the doubly charged vortex is actually stable in this lattice geometry for sufficiently small values of the coupling, with the same pairs as the singly charged vortex, except multiplied by i . Figure 3 presents both types of configurations, indeed illustrating the numerical linear instability of the former, and numerical linear stability of the latter structures. Nevertheless, it should be pointed out that for higher values of the inter-site coupling ($\varepsilon\geq 0.1$) in this case also, the topological charge $S=2$ solution eventually becomes unstable as well due to oscillatory instabilities, as is shown in the bottom right continuations of the relevant eigenvalues. Notice also the generally excellent qualitative and good quantitative agreement—at least for small values of ε (for larger values of the coupling parameter higher order effects become important)—between the theoretical predictions of Eq. (7) and the numerical results.

We now turn to the configurations consisting of three lattice sites. We consider three such cases, similarly to [32] where a Klein-Gordon model was considered. The first two are the standard real- ($\Delta\theta=0$) and complex-valued ($\Delta\theta=2\pi/3$, corresponding to a discrete vortex of topological charge $S=1$) ones, while the last one is the nonstandard case with phases 0, π , and 0 for the three sites. For $\Delta\theta=0$ the theoretically predicted double pair of $\pm\sqrt{6\varepsilon}$ and pair at 0 are confirmed to exist in the third row (b) of Fig. 4, while for the discrete singly charged vortex solution with $\Delta\theta=2\pi/3$, the double pair $\pm\sqrt{3\varepsilon}i$ and a pair at 0 are also found to reasonably approximate its linearization eigenvalues in the bottom panels (c) of Fig. 4. It should be noted that for larger coupling ($\varepsilon\geq 0.02$) the double pair of eigenvalues splits, as can be observed in the numerical results. These solutions also become unstable as usual after the first eigenvalue collides with the continuous spectrum at $\varepsilon\approx 0.09$. On the other hand, for the configuration with phases 0, π , and 0 of the top rows, one pair of eigenvalues remains at the origin, due to the phase invariance, while one of the remaining two pairs becomes imaginary (theoretically predicted as $\pm i\sqrt{6\varepsilon}$) and the other one becomes real (predicted as $\pm\sqrt{2\varepsilon}$). We can again observe that the full numerical results agree well not only qualitatively but also even quantitatively with the theoretical description.

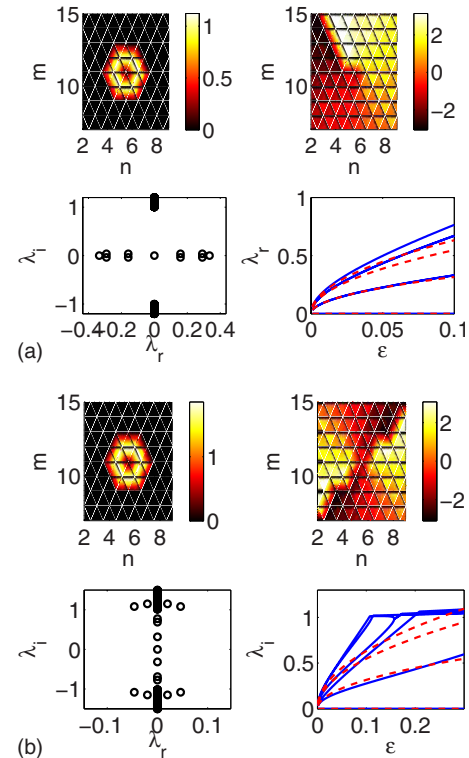


FIG. 3. (Color online) Six-site vortices in the hexagonal geometry. The four images in (a) show the unstable singly charged solution branch with $\Delta\theta=\pi/3$, while the set in (b) is for the stable doubly charged solution branch with $\Delta\theta=2\pi/3$. The singly charged vortex is unstable, while the doubly charged one is stable (until the oscillatory instability resulting from the collision of the pairs of negative Krein signature with the phonon band). The top row of each set displays the modulus (left) and argument (right) of the solution with $\varepsilon=0.025$ (a) and 0.125 (b), while the bottom left is the linearized spectral plane and the bottom right is the continuation of the relevant eigenvalues from the AC limit, with the solid and dashed lines representing the numerical solution and the theoretical prediction, respectively.

B. Honeycomb geometry

We now explore the same configurations systematically in the case of the honeycomb lattice geometry, in which each node has three neighbors as opposed to six. In Fig. 5, we again consider two representative real configurations, namely, the in-phase six-site structure (top row), and the out-of-phase hexapole, where adjacent neighbors have a relative phase shift of π . We find that the principal stability characteristics are similar to those in the hexagonal case, in agreement with the theoretical prediction. In fact, we can observe that quantitatively the agreement of the linearization eigenvalues is arguably even better between theoretical predictions and full numerical computations in this case. This is because in the hexagonal case, each site has six neighbors, which mediate second-order intersite interactions between excited sites, as opposed to three neighbors in this case. This feature reduces the role of higher-order corrections to the theoretical predictions and hence renders the leading order predictions accurate for wider parametric ranges. This is a feature that we consistently observe throughout our honeycomb lattice results.

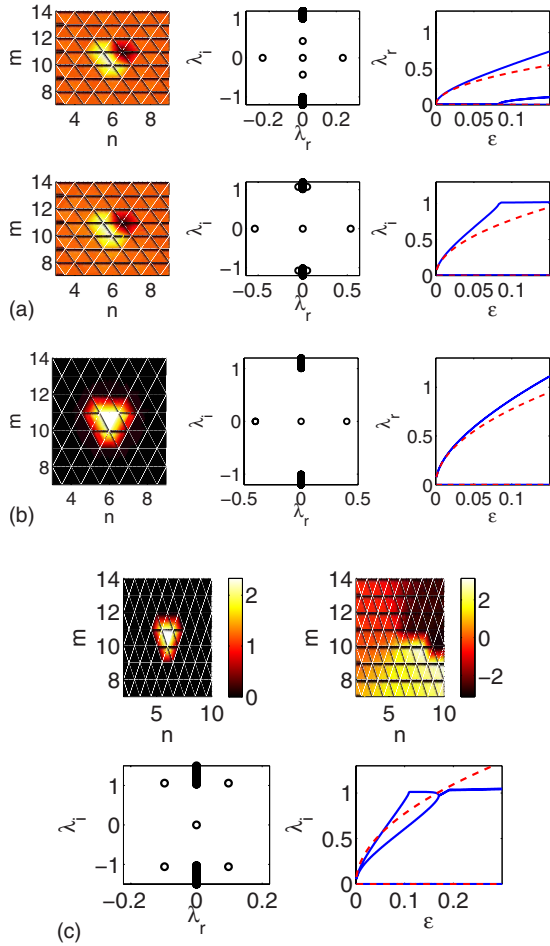


FIG. 4. (Color online) Three-site configurations in the hexagonal geometry. The top two rows (a) present the same panels as Fig. 2 except for the unstable unconventional case where $\theta_1 = \pi$ and $\theta_2 = \theta_3 = 0$, and the third (b) is the unstable three-site $\theta = 0$ case shown in the same format. The four panels below that (c) display the stable (for $\epsilon \leq 0.1$) three-site singly charged vortex with $\theta = 2\pi/3$. They are (clockwise from top left) the modulus (for $\epsilon = 0.2$), phase, continuation of its principal eigenvalues as a function of the intersite coupling strength ϵ , and linear stability spectrum (for $\epsilon = 0.2$). Two rows are given for the $0, \pi, 0$ case because one of the null pairs from the AC limit becomes real (third column of the first row; the solution and its stability in the first and second columns are shown for $\epsilon = 0.025$), while the other becomes imaginary (third column of the second row; here the solution and its stability in the first two columns are for $\epsilon = 0.095$).

The six-site discrete vortices are illustrated for this lattice in Fig. 6. Once again, the interesting feature of the immediate and generic (i.e., independent of the precise value of ϵ) instability of the vortex of topological charge $S=1$ can be observed, while the vortex of topological charge $S=2$ is stable for small values of the coupling and is destabilized for sufficiently large couplings ($\epsilon \geq 0.13$) by means of oscillatory instabilities. Note the larger value of the coupling necessary for the onset of an oscillatory instability here as compared to the hexagonal case, presumably a result of the higher-order terms present in the latter due to presence of the center site.

Finally, the interesting feature of the three-site configura-

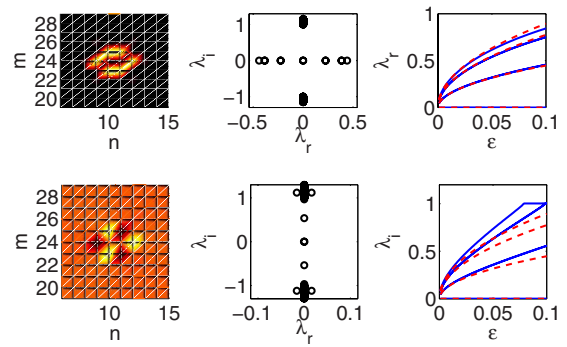


FIG. 5. (Color online) Same panels as in Fig. 2, except for the honeycomb geometry. The particular solutions are for $\epsilon = 0.025$ (top) and 0.095 (bottom).

tions in the honeycomb case is that they now constitute *next-nearest-neighbor* configurations. As a result, the theoretical prediction that should be compared to the full numerical results is now proportional to ϵ rather than to $\sqrt{\epsilon}$. This is clearly seen to be consonant with the full numerical findings of Fig. 7, not only for the strongly unstable (with a double real pair $\pm\sqrt{6\epsilon}$) configuration of the in-phase case (b), or for the linearly stable (for $\epsilon \leq 0.43$) vortex case [(c) with a double imaginary pair $\pm\sqrt{2i\epsilon}$], but also for the top rows (a), where the $0 - \pi - 0$ case has one real ($\pm\sqrt{2\epsilon}$) pair and one imaginary ($\pm\sqrt{6i\epsilon}$) pair of eigenvalues.

IV. DYNAMICAL EVOLUTION RESULTS

We now examine the nonlinear dynamics of an unstable solution of each configuration upon integration of a slightly

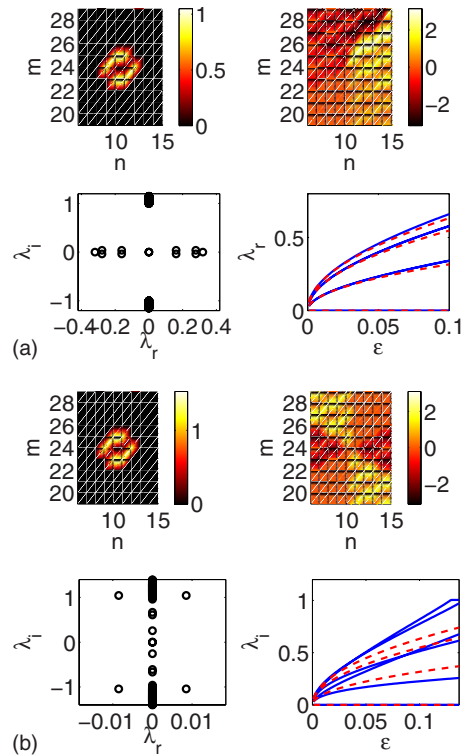


FIG. 6. (Color online) Same as Fig. 3 except for the honeycomb lattice. The particular solutions given are for the coupling constants $\epsilon = 0.025$ (a) and 0.135 (b).

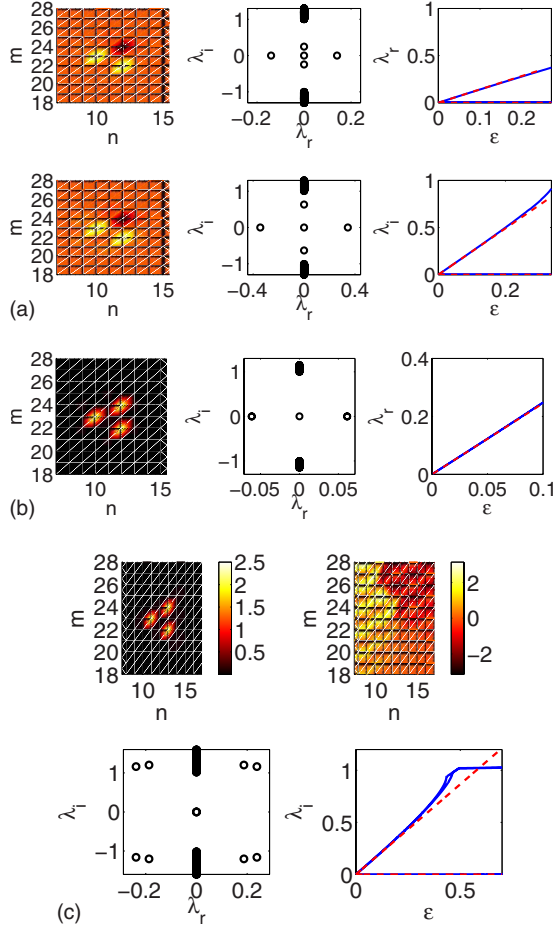


FIG. 7. (Color online) Same as Fig. 4 except for the honeycomb lattice geometry. The particular solutions shown are for $\varepsilon=0.025$ (top and third rows), 0.27 (second row) (the unconditionally *unstable* solutions), and 0.6 for the charge-1 vortex solution, which is stable for $\varepsilon \lesssim 0.5$, in the bottom set.

perturbed waveform $u = u^s(1 + u^r)$, where u^s is the complex valued vector field which is a stationary unstable solution to Eq. (2), and u^r is a random noise field (i.e., a field in which every entry is a random variable distributed uniformly in the interval between $\pm 0.05 \max_{\{m,n\}}[|u_{m,n}(t=0)|^2]$). Since the coupling sensitively affects the dynamics (in particular, larger coupling facilitates communication between sites and hence propagation of the instability), we use a fixed coupling of $\varepsilon=0.1$ for all solutions except for those which are stable until larger values of ε . In a few seemingly counterintuitive cases we examine the cases of larger perturbation and coupling, and find that these effects (more so the coupling) do indeed influence the dynamical evolution. We will see that several cases degenerate to similar two-site breathing structures with phase correlation which may be either out of phase or oscillating between in and out of phase.

A. Hexagonal geometry

First, we explore the evolution of characteristic unstable solutions from the families of configurations in a hexagonal geometry given in Sec. III A. Within this class we begin with

the six-site configurations. The evolution of the real-valued solution with $\Delta\theta=0$ from the family in the top row of Fig. 2 is displayed in Fig. 8. The rapid destruction of the original configuration confirms the linear stability analysis, which predicts strong instability from five pairs of real eigenvalues. However, for $\varepsilon=0.1$ (a-c) and a 5% perturbation, after the destruction of the initial configuration, a robust three-site oscillating breather state emerges [note the plot of individual site amplitudes as a function of propagation distance in Fig. 8(c)]. Despite the apparent coherence of the amplitude oscillations, the relative phases of the sites appear to be uncorrelated and are not shown. A similar phenomenon is observed for a much larger initial perturbation of 25% of the initial amplitude [Fig. 8(d,f)], although here the amplitude oscillations remain irregular even with three populated sites, and after a long distance, a nonlinear dynamical structure emerges in the form of a two-site breather. Again, however, there is no definite pattern in their relative phases. For a much larger coupling, on the other hand, as shown in panels (e,g), all sites except for one decay very rapidly and a single site survives for long distances. Dynamical evolution of a real-valued solution from the bottom row of Fig. 2 with $\Delta\theta = \pi$ is displayed in Fig. 9. The original configuration takes considerably longer to decompose than the in-phase counterpart given above, confirming the expectation based on the small-magnitude complex quartet of unstable eigenvalues of the linearized system. Once again, in this case a two-site structure with oscillating amplitudes persists long after the original breakup. However, in this case, there is a strong phase correlation, and when the amplitudes of these sites are close they are in phase, while when they are distant they are out of phase [Fig. 9(d)].

Next, we consider the vortex solutions with six sites. Both of these configurations confirm again the linear stability analysis, and also both feature two-site breathers for long distances. The singly charged vortex ($\Delta\theta=\pi/3$) from Fig. 3(a) decays into a breather with uncorrelated phases, similarly to Fig. 8(d,f) and, hence is not shown. The evolution of the more stable doubly charged vortex ($\Delta\theta=2\pi/3$) from Fig. 3(b) is given in Fig. 10. Notice the almost harmonic oscillations of the breather shown in the inset of Fig 10(c). Here the two sites are also of comparable amplitudes, but as they oscillate they remain usually out of phase with each other as shown in (d). Another feature of both of these cases is that one of the two ultimately surviving sites is the originally unpopulated center site, which inherits mass from other sites when they decay.

We now consider the three-site configurations. Both the $\theta_i=0, \pi, 0$ solution from Fig. 4(a), which is unstable due to one real pair of eigenvalues and the more unstable $\Delta\theta=0$ solution (b) given below that (which is unstable due to two pairs of real eigenvalues), ultimately decay into in-phase or out-of-phase breathers such as the one shown in Fig. 9, although faster in the latter case due to the two unstable directions. The latter is displayed in Fig. 11. The final three-site configuration is from the potentially stable $\Delta\theta=2\pi/3$ family in Fig. 4(c). The imaginary eigenvalues with negative Krein signature do not reach the continuous spectrum until a large coupling value in this case, and so we investigated the dynamics for $\varepsilon=0.2$. Despite the magnitude of the growth

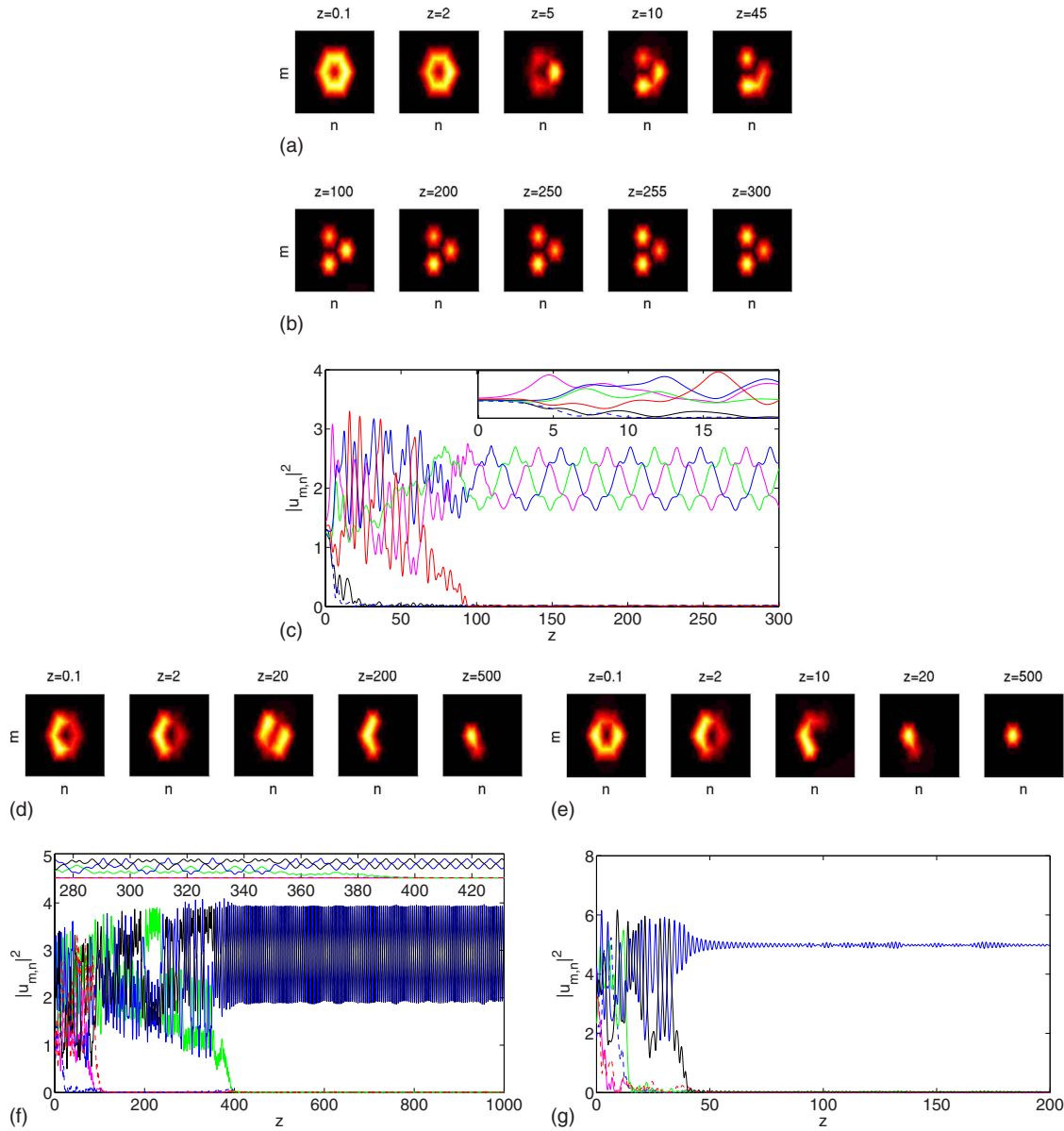


FIG. 8. (Color online) Snapshots (a,b) in the evolution of the solution corresponding to $\varepsilon=0.1$ from the family with $\Delta\theta=0$ given in the top row of Fig. 2, under a small perturbation by a random noise field to seed the instability. Panel (c) shows the squared amplitude as a function of propagation distance of the relevant sites, and the inset shows a closeup of the small distance dynamics. Notice the structure of the robust three-site periodic structure which emerges after the original configuration dissolves. Below this there are two sets of images for a much larger perturbation of 25% of the initial amplitude (d,f), where the third populated site eventually decays as well and only two sites persist for long distances, and a much larger coupling $\varepsilon=0.3$ (e,g), where the configuration decays very rapidly and a single-site solitary wave remains. There is no clear correlation between the phases of either of the solutions with multiple remaining sites.

rate being comparable with the previous cases, two of the original populated sites here rapidly decay and a robust single site remains. This may be a result of the stronger inter-site interaction induced by the larger coupling.

B. Honeycomb geometry

We now turn to the same configurations as above but in the honeycomb geometry, as explored in Sec. III B. Interestingly, in this case, for $\varepsilon=0.1$, and a 5% perturbation, all configurations result in multisite breathing structures with up to four populated sites for long propagation distances. Since

the dynamical evolution of the six-site configurations in the hexagonal geometry involves communications with the center site, it is reasonable to hypothesize that this is a major contributor to the rather significant differences observed below between the nonlinear evolution presented in this and the previous subsection.

First, we display the results of the evolution of a real valued configuration from Fig. 5 in Fig. 12. The linearized system of the solution with $\Delta\theta=0$ in Fig. 12 is strongly unstable with five real pairs of eigenvalues, and the one with $\Delta\theta=\pi$ has all the same multiplied by the imaginary unity. The dynamical evolution confirms the stability analysis and

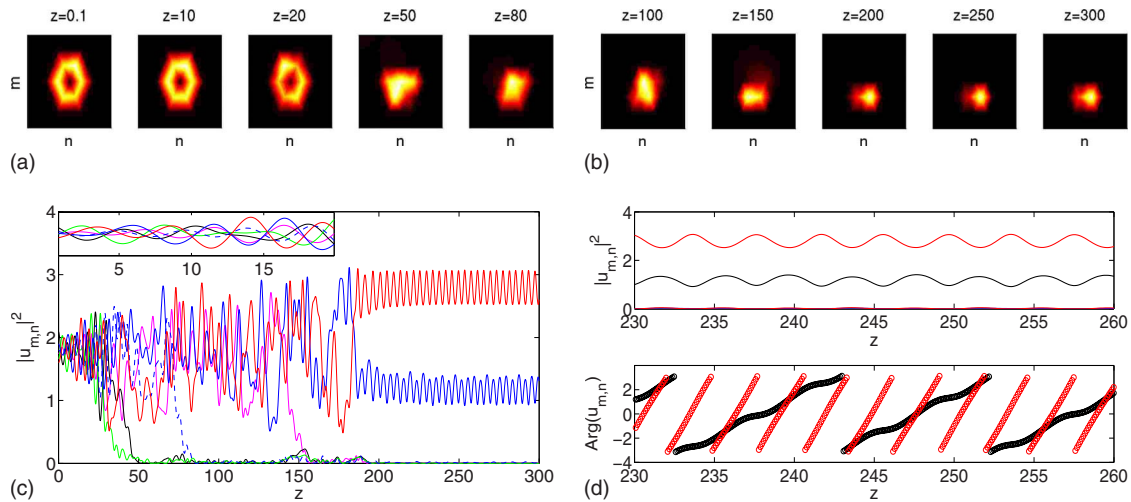


FIG. 9. (Color online) Same set of figures as in Fig. 8(a,b,c) except for the solution from the out-of-phase family in the bottom row of Fig. 2, also for $\varepsilon=0.1$. Panels (d) show a closeup of the amplitude oscillations (top) and phase correlation (bottom) present in the remaining breather. As one can see, the distance until the initial configuration breaks down is much longer than for the in-phase case, as expected from the linear stability analysis (cf. the inset here and in Fig. 8). The ultimate surviving configuration here contains a two-site breathing structure (see (c) the squared amplitude evolution), in which there is a difference in amplitude and the phases of the two sites are the same when the amplitudes are closer and opposite when they are further apart.

two sites decay very rapidly for the in-phase configuration, while much more slowly for the more stable out-of-phase one (not shown). On the other hand, it is noteworthy that the four sites persist for long distances in each case and that the more linearly stable out-of-phase one decays into three sites eventually, which have apparently uncorrelated phases. The resulting four-site breather in the in-phase case for $\varepsilon=0.1$ [Fig. 12(c)] is actually comprised of two out-of-phase breather pairs, such as the ones in Fig. 10(c), while for $\varepsilon=0.3$ [Fig. 12(b,d)] the phases of the unequal-amplitude breather pair oscillate between in phase and out of phase. Also, as in the hexagonal case of the $\Delta\theta=0$ family, we explored the sensitivity of the nonlinear evolution to larger per-

turbation and coupling and found that two sites robustly remain for the larger coupling $\varepsilon=0.3$, while four remain for $\varepsilon=0.1$ (not shown). For this reason, these solutions were continued for an extra long propagation distance up to $z=2000$, and for consistency and comparison the remaining cases in this setting will also be continued for the same distances.

The instability of the discrete vortices from Fig. 6 results in multiple sites persisting with large-amplitude oscillations for long distances, ultimately evolving to an out-of-phase two-site breathing structure for the singly charged ($\Delta\theta=\pi/3$) one (not shown) and a four-site structure for the doubly charged ($\Delta\theta=2\pi/3$), shown in Fig. 13. This four-site

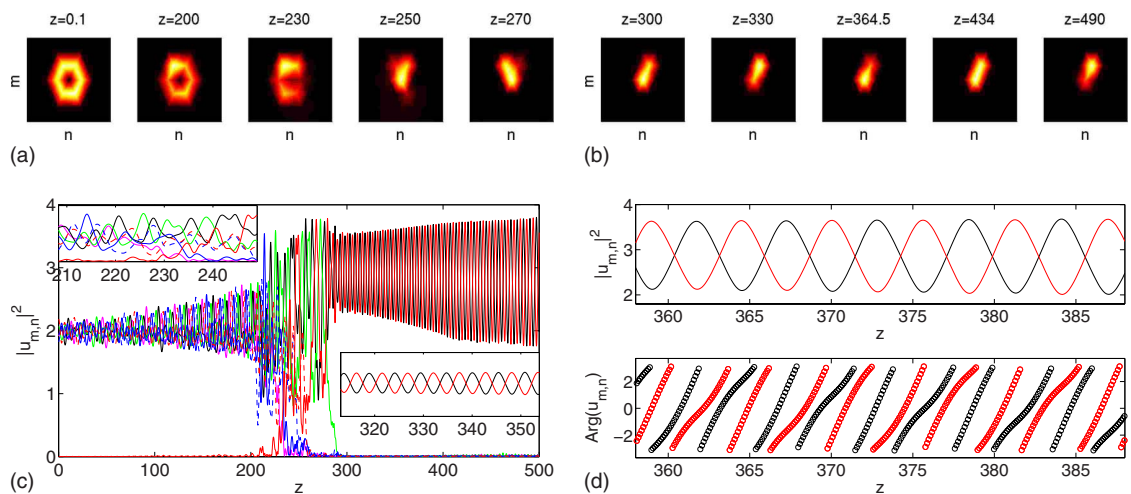


FIG. 10. (Color online) Same set as in Fig. 9, this time for a solution from the charge-2 family from Fig. 3(b) with $\varepsilon=0.125$, i.e., large enough that a quartet of eigenvalues emerges. The long distance until initial breakup confirms the linear stability analysis, but a two-site (including the initially unpopulated center site) breathing structure persists after the disintegration of the initial structure. The inset panels show closeups of the amplitudes for shorter and longer propagation distances, respectively. In (d), one can observe that these two sites remain usually out of phase as their amplitudes oscillate.

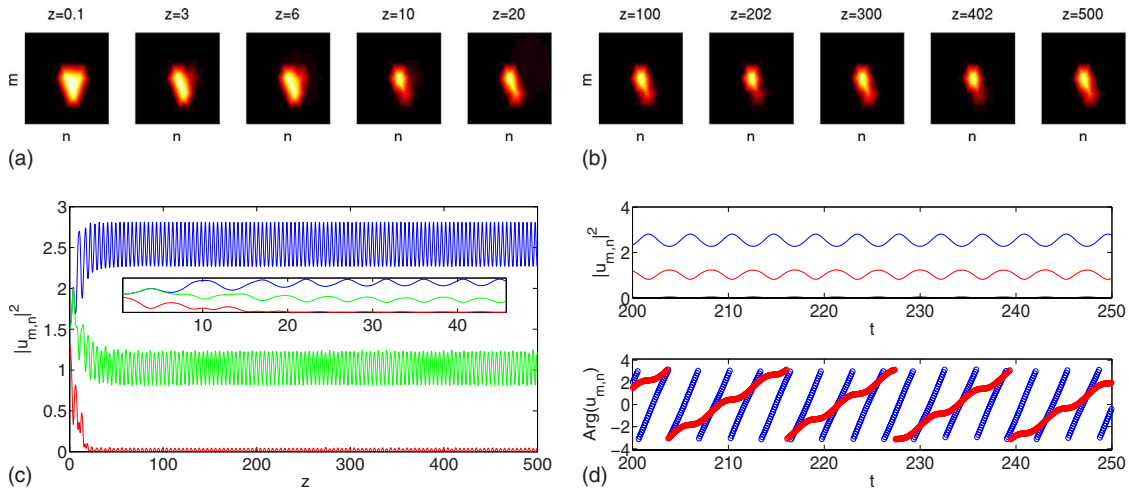


FIG. 11. (Color online) The in-phase, three-site configuration from Fig. 4(b) is shown, in which a two-site breather persists for long propagation distances. The phases are correlated like the other unequal-amplitude two-site breather which resulted in the evolution of the out-of-phase hexapole shown in Fig. 9, in which they become in phase and out of phase depending on whether their amplitudes are similar or considerably different, respectively.

structure consists of an out-of-phase pair close in amplitude and an unequal-amplitude pair oscillating between in phase and out of phase [see panels (c)] until a very long distance when they reshape into two out-of-phase pairs (phase not shown). The latter part is reminiscent of the result of evolution of the in-phase hexapole for small ϵ given in Fig. 12(a,c).

Finally, we show the evolutions of the three-site configurations from Fig. 7. Figure 14 displays the dynamics of an unstable $\theta_i=0, \pi, 0$ solution. The persistence for very long distances of the three sites for the smaller coupling prompted an investigation of a solution with larger coupling of ϵ

$=0.27$ from this family. This turned out to decay very rapidly to a single site (not shown). In the smaller-coupling case, an intricate breathing pattern emerges which apparently converts the mode into a three-site breather (rather than a three-site stationary solution). The three-site configuration with $\Delta\theta=0$ is not shown, but again here all three sites survive for a long propagation distance for $\epsilon=0.1$. This does not contradict the linear instability, since the configuration deviates almost immediately in terms of amplitude and phase distribution. There is no clear correlation in the phases in this case. Again the persistence of all three sites for $\epsilon=0.1$ prompted investigation for a larger coupling $\epsilon=0.3$ and again a single

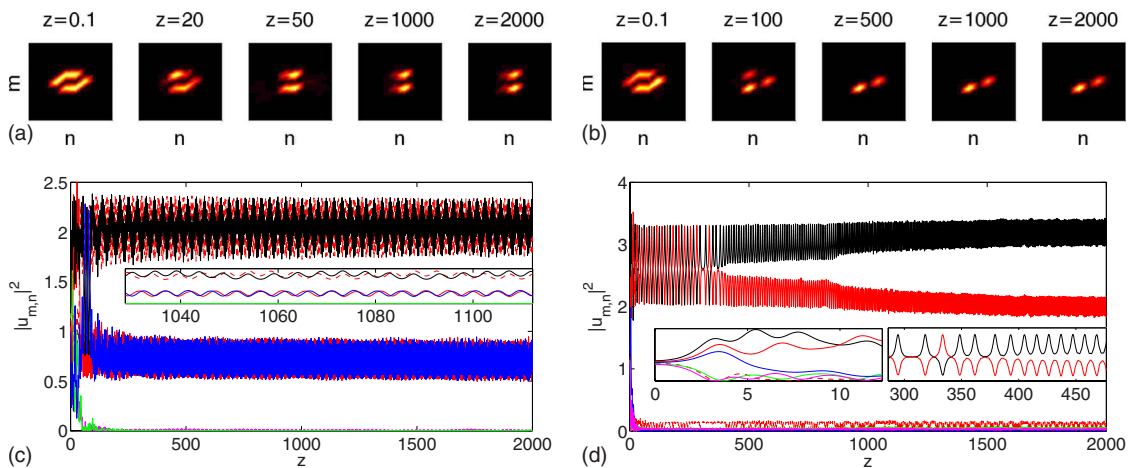


FIG. 12. (Color online) Evolution of the in-phase six-site configuration with the honeycomb lattice geometry from the top row of Fig. 5 is given above (a,c) for $\epsilon=0.1$ and (b,d) for $\epsilon=0.3$. As in the hexagonal case shown in Fig. 8 for $\epsilon=0.1$, a multisite structure persists over a long distance, although now it is comprised of four sites, two pairs of out-of-phase breathers with comparable amplitude [the phase structure is not shown, but each pair is comparable with that of Fig. 10(d)]. This interesting difference inspired us to continue the dynamical evolution for a longer distance, and the structure did indeed persist up to another order of magnitude. Even with the much larger perturbation of 25% of the initial amplitude (not shown) as opposed to 5%, a very similar four-site structure persists for a long distance, although the degeneration of the other two sites is very rapid. The same robustness to perturbation is found for $\epsilon=0.3$, although a two-site unequal-amplitude breather remains, which oscillates between in phase and out of phase [phase correlation not shown, but the same as the unequal-amplitude breather in Fig. 9(d)].

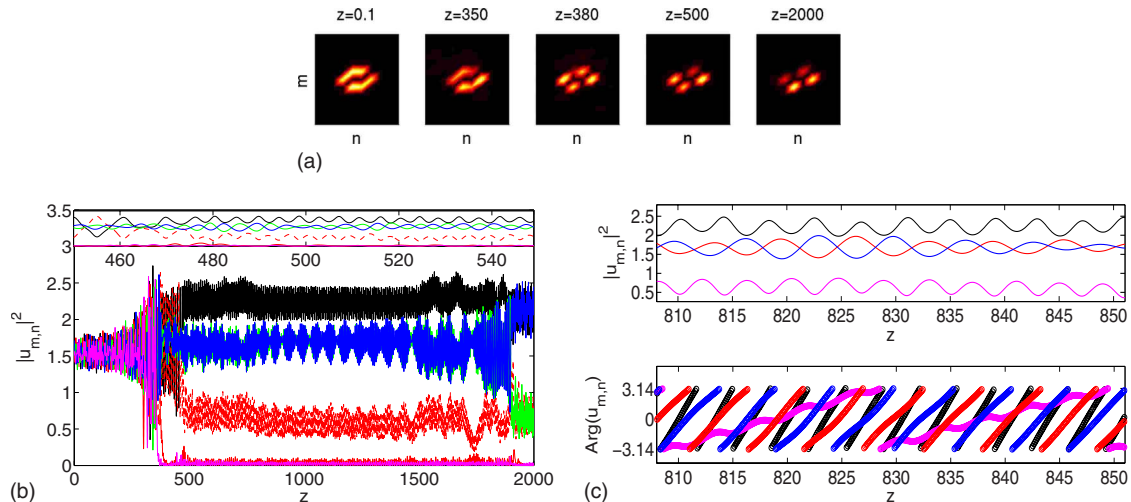


FIG. 13. (Color online) Six-site doubly charged honeycomb lattice vortex for $\varepsilon=0.135$ from the panels in Fig. 6(b). It is significantly more stable than the singly charged counterpart. All original sites remain populated for a long distance, up to $z=400$, and, when the two sites eventually decrease in amplitude, the remaining four reshape into a four-site breather. Two of the sites remain close in amplitude and out of phase, while one has larger and the other has smaller amplitude and these oscillate between in phase and out of phase in the same manner as the others, such as those in Fig. 9(d). The inset features a closeup image of the complex oscillations of the four sites. At a very long distance, close to $z=2000$, they reshape in amplitude and phase, becoming two pairs of out-of-phase breathers, as the in-phase hexapole from Fig. 12(c) ultimately does, although the dynamics is not followed further to see if this structure persists.

site ultimately remained, although in this case two sites also persisted for a significant distance before the ultimate degeneration into a single-site wave form. For the last three-site configuration the same consideration of the coupling arises, since this configuration is unique among those considered here, in the sense that a considerably larger coupling strength is required for the imaginary eigenvalues to collide with the continuous spectrum and the instability of this state to occur. Even with the very mild instability when the first imaginary pair collides with the phonon band at the very large coupling value of $\varepsilon=0.43$, the dynamics clearly illustrate the oscillatory instability. The original configuration persists until $z=30$, ultimately concentrating primarily on a single site for long propagation distances. In this case, the only one for

which the dynamics are qualitatively similar in the honeycomb and hexagonal geometries. Breathers remained for certain parameter values for all other configurations considered. The relative phases of the two-site breathers which recurred in many of the simulations suggest that these may exist as potentially stable time-periodic solutions.

V. CONCLUSIONS

In the present work we have examined both discrete soliton and discrete vortex configurations on nonsquare lattices for a prototypical discrete nonlinear Hamiltonian evolution equation (namely, the DNLS equation) of diverse interest to various areas of nonlinear optics and potentially of atomic

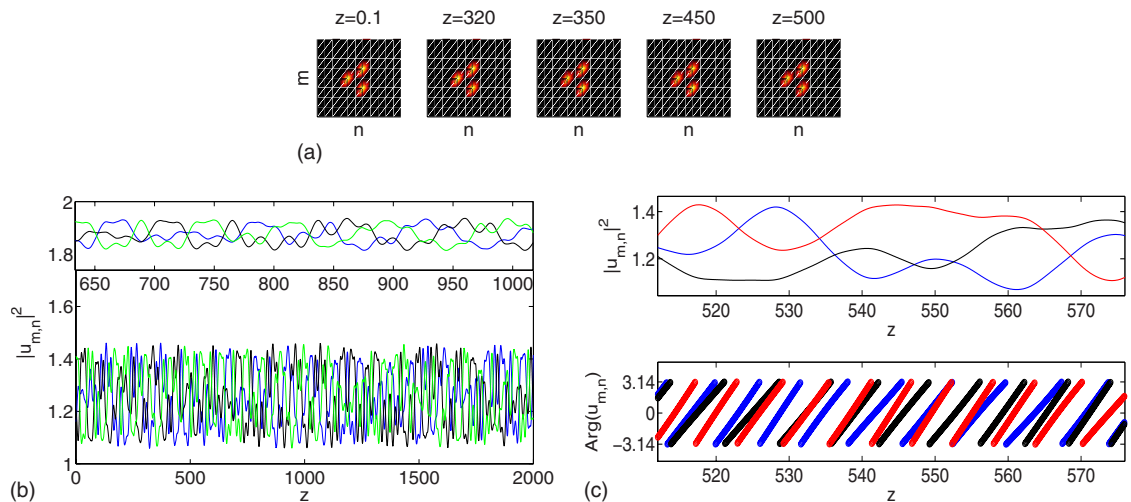


FIG. 14. (Color online) Dynamics for the $0, \pi, 0$ honeycomb lattice configuration from Fig. 7(a) for $\varepsilon=0.1$. This solution persists for very long propagation distances despite the linear instability. Moreover, the relative phase structure persists, although the one site that is out of phase with the other two oscillates from one to another among the three (c).

physics. We studied, in particular, three- and six-site configurations in nonsquare lattice geometries in the case that each node has six neighbors (hexagonal lattice), as well as in the case that each node has three neighbors (honeycomb lattice). Theoretical predictions for the stability of six-site real configurations were the same in each case and the in-phase version was strongly unstable (and generally all configurations with any pair of in-phase nearest neighbors will be unstable), while the out-of-phase configuration was subject only to weak oscillatory instabilities stemming from complex quartets of eigenvalues that arise when imaginary eigenvalues with negative Krein signature collide with the continuous spectrum (of positive Krein signature). On the other hand, among complex solutions, we highlighted the cases of topological, singly and doubly charged structures (discrete vortices). In both the hexagonal and honeycomb geometries it was found that the former configuration is strongly unstable, while the latter may be stable for sufficiently weak couplings. It is also relevant in this context to point out that our results were presented for the case of a focusing nonlinearity, but it is straightforward to extend them to the defocusing case. There, it is expected that these features will be reversed, i.e., the vortex of charge $S=1$ will be stable, while that of $S=2$ will be unstable in contrast to the effect of such a transformation on the fundamental four-site contour in a square lattice. Similarly the in-phase structure will be stabilized, while the out-of-phase one will be destabilized which, however, is consistent with the case of the square lattice. This can be inferred by a staggering transformation along the one-dimensional contour of excited sites, which changes by π the phase of, say, just the odd (or equivalently just the even) sites of the contour. For the three-site configurations, on the other hand, there was a difference between the honeycomb and hexagonal geometries which arises due to the fact that such a configuration occurs in the honeycomb case comprised of next-nearest neighbors and therefore the eigenvalues grow at a higher order in ε : in fact, linearly (compared to a growth proportional to $\sqrt{\varepsilon}$ in the hexagonal case). Nevertheless, in all cases, our theoretical predictions were confirmed qualitatively, but also quantitatively (at least for small ε) by numerical continuation/bifurcation results.

Finally, the dynamics of these states revealed a number of somewhat unexpected features. Unlike the expectation of square geometries that the relevant configurations may typically degenerate to a single-site solitary excitation, we have observed in various cases, that not only can the configuration reduce to wave forms with a larger number of excited sites, but also it can even preserve its original amplitude profile in

terms of the number of excited sites—exhibiting breathing oscillations in the amplitude of these sites, or an internal reshaping of their relative phase. A breather composed of two sites having comparable amplitudes and being usually out of phase with one another recurs in the dynamics of several solutions, as well as one with two uneven amplitudes in which the phases oscillate between in-phase and out-of-phase according to whether the amplitudes are closer or further apart, respectively. Also, in some cases there are very particular amplitude structures with no apparent phase correlation, such as the three-site structure that persists over long distances after the decomposition of the six-site in-phase configuration in the hexagonal geometry. It is also worthwhile to highlight the sensitive dependence of the evolution on the coupling parameter. For large values of the coupling, the degeneration to a single-site excitation apparently becomes more likely due to the fact that many of the multisite configurations disappear through bifurcations, as has been discussed, e.g., in one-dimensional settings in [44].

There is a number of interesting directions suggested by these results for future studies. It would be relevant to examine, along lines similar to the earlier work of [32], whether the conclusions presented herein are also qualitatively similar to what can be found for discrete solitons and vortices in two-dimensional Klein-Gordon chains. It would also be relevant to consider the continuum analogs of these results, either for cubic or for saturable nonlinearities (appearing, e.g., in photorefractive crystals) and see how the latter compare to the discrete theory, especially as concerns the qualitative structure of the linearization spectrum and the solutions predicted to be potentially stable. Another direction would be to explore in more detail the nonlinear dynamics, to determine the exact mechanism which supports the complex breathing dynamical structures, identify exact breather solutions, and explain the sensitivity of the evolution to the coupling parameter. Finally, another interesting extension would be to consider such nonsquare lattices in a fully three-dimensional setting, including hexagonal-close-packed configurations. Studies along some of these directions are presently under way and will be reported in future presentations.

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